# **Comparing Representations in Tracking for Event Camera-based SLAM**

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#### Abstract

This paper investigates two typical image-type representations for event camera-based tracking: time surface (TS) and event map (EM). Based on the original TS-based tracker, we make use of these two representations' complementary strengths to develop an enhanced version. The proposed tracker consists of a general strategy to evaluate the optimization problem's degeneracy online and then switch proper representations. Both TS and EM are motion- and scene-dependent, and thus it is important to figure out their limitations in tracking. We develop six tracker variations and conduct a thorough comparison of them on sequences covering various scenarios and motion complexities. We release our implementations and detailed results to benefit the research community on event cameras: https: //github.com/gogojjh/ESVO\_extension.

## 1. Introduction

Event cameras are novel and bio-inspired sensors. Different from conventional frame cameras that capture images at a fixed rate, they asynchronously capture the per-pixel *intensity changes* and output a stream of *events*. Each event is encoded with information, including the triggered time, pixel localization, and the sign of the intensity change. As summarized in [5], event cameras have high temporal resolution ( $\mu$ s-level), high dynamic range (140dB v.s. 60dB of standard cameras), and low power consumption. These characterisics enable event cameras to have great potential for several computer vision and robotic tasks <u>e.g.</u>, highspeed motion estimation [2, 6] as well as feature tracking [9, 31] and high dynamic range perception [16, 23], which are difficult to frame cameras. However, research on standard vision problems with event cameras is still preliminary. This is because event cameras work in a fundamentally different way from frame cameras, which measure *intensity changes* asynchronously rather than the *absolute intensity* (<u>i.e.</u>, grayscale data) at a constant rate. Thus, novel algorithms to process events must be investigated.

In this paper, we restrict the scope of literature to the SLAM problem using only event cameras, which does not include methods that use frame cameras [26] or structured light [13] to provide additional color/depth information. Such a SLAM problem has been addressed step by step in scenarios with an increasing complexity. Depending on the complexity of this problem, recent works can be categorized along with three axes: 1) problem dimensionality: from individually handling the localization and 3D reconstruction subproblem [7] to solving the complete tracking-and-mapping problem [21]; 2) type of motion: estimating from constrained motions such as pure rotation or planar motion [8, 12, 17] to arbitrary 6-DoF motions [29]; 3) type of scenes: from artificial patterns [15] to natural scenes [22].

Two event camera-based methods, *EVO* [21] and *ESVO* [29], stand out as solving the SLAM problem in the most general setting (6-DoF motion and natural 3D scenes). Different from the earlier work [11] that needs to recover absolute intensity on a dedicated hardware (GPU), both EVO and ESVO directly directly run on a CPU in real-time.

EVO was proposed to solve the monocular event-based state estimation, which consists of a plane sweep-based mapper and a tracker that warps a semi-dense 3D map onto a binary event map (EM). The tracker and mapper work in a parallel manner. ESVO also follows this tracking-andmapping philosophy to design the stereo event-based Visual Odometry (VO) system. It exploits the novel time surface (TS), which encodes spatio-temporal constraints, to estimate a semi-dense depth map for each stereo observation pair. It utilizes the "negative" TS, which is aligned by the 3D map, to optimize pose parameters in tracking. In summary, trackers in both EVO and ESVO resemble the similar frame-based paradigm [4]: they use non-linear optimization to solve the 3D-2D alignment problem on image-type representations. Although the EM and TS have been separately applied in above event-based VO systems, the current liter-

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ature has not offered comparative results of them.

The motivation of this paper is to address this deficiency by performing a comprehensive evaluation of the two representations. Furthermore, we enhance the original TS-based tracker by integrating it with the EM counterpart. This tracker has a degeneracy evaluation module to determine which representations are used. All implementations will be publicly released, which are developed from the opensource ESVO<sup>1</sup>. We conduct experiments on simulated and real-world sequences, covering various scenarios from artificial patterns to natural scenes under motion in different complexities. Besides the tracking accuracy, we also analyze the limitations of the EM and TS by presenting several failure cases. We hope that our results and conclusions may reference researchers on event-based SLAM and indicate possible directions to improve the current state-of-the-art (SOTA) methods.

## 2. Overview of Camera Tracking

#### **2.1.** Formulation

The tracking module estimates 6-DoF poses of the event camera by taking the image-type event representations (also called event frames) as input. It assumes that a semi-dense 3D map of the environment is given. We denote the reference camera frame as  $()^r$  and the current camera frame as  $()^{c}$ . We use M to indicate the template image in  $()^{r}$  which is projected by the 3D map  $\mathcal{M}$  and  $\overline{I}$  to denote the current image. As explained in the following sections,  $\overline{I}$  is defined as the "negative" EM or TS. The goal of the tracking is to find the transformation  $T(\boldsymbol{\theta})$  that optimally aligns  $\mathcal{M}$  onto  $\overline{I}$ . This "alignemnt" is done through the warping function:

$$W(\mathbf{x}, d; \boldsymbol{\theta}) \doteq \pi \big( T(\boldsymbol{\theta}) \cdot \pi^{-1}(\mathbf{x}, d) \big), \quad \mathbf{x} \in M, \quad (1)$$

where  $\theta$  are the pose parameters;  $T(\theta)$  turns  $\theta$  into a transformation matrix from  $()^r$  to  $()^c$ ;  $\mathbf{x} = [u, v]$  is the localization of a valid pixel on the template image;  $\pi(\cdot)$  projects a 3D point onto the image plane, while  $\pi^{-1}(\cdot)$  back-projects a pixel into 3D space with the known depth d. We minimize the objective function to find the optimal  $\theta^*$  as

$$\arg\min_{\boldsymbol{\theta}} \sum_{\mathbf{x} \in M} \rho\Big(\bar{I}\big(W(\mathbf{x}, d; \boldsymbol{\theta})\big)^2\Big), \tag{2}$$

where  $\overline{I}(\cdot)$  is the pixel value and  $\rho(\cdot)$  is the robust loss. Following ESVO, we reformulate Problem (2) using the forward compositional Lucas-Kanade method, which iteratively refines the incremental pose parameters  $\Delta \theta$  by minimizing the objective as

$$\underset{\Delta \boldsymbol{\theta}}{\arg\min} \sum_{\mathbf{x} \in M} \rho \Big( \bar{I} \big( W(W(\mathbf{x}, d; \Delta \boldsymbol{\theta}); \boldsymbol{\theta}) \big)^2 \Big), \qquad (3)$$



(a) An example of the time surface (b) An example of the event map which is triggered at every 10ms

which aggregates 4000 events Figure 1. An example of the TS and EM.

where the warping function is updated at each iteration

$$W(\mathbf{x}, d; \boldsymbol{\theta}) \leftarrow W(W(\mathbf{x}, d; \Delta \boldsymbol{\theta}); \boldsymbol{\theta}).$$
 (4)

The compositional approach is more efficient than the original Lucas-Kanade algorithm. This is because the Jacobian  $\frac{\partial W}{\partial \theta}$  of the warping function is evaluated at a fixed point:  $(\mathbf{x}, \mathbf{0})$  which can be pre-computed. Another reformulation is called the inverse compositional Lucas-Kanade algorithm, which is used in EVO. It switches the roles of the template and current image. In other words, we need to evaluate the gradient  $\nabla M$  of M as the part of the Jacobian, not of I in the forward approach. But this paper employs the forward approach that exploits the implicit "slope" feature of the TS. Moreover, the definition of  $\theta$  varies according to different parameterization ways, including the Lie algebra [1] or Euler angles/Quaternions [25]/Cayley parameters [3] for rotation with translation vectors.

#### 2.2. Two Event Representations

#### 2.2.1 Event Map

Besides EVO, the binary EM has also been applied in visual-inertial odometry [20] where FAST corners [24] are directly extracted and tracked. Constructing the EM is simple: a group of events within a temporal neighborhood is aggregated onto the image. The pixel is set to 255 where an event is fired; otherwise, it is set to zero. Therefore, EMs are output in an asynchronous manner. But due to the datadriven nature of event cameras, the number of events in the group should be tuned for a specifc dataset. Otherwise if the number is small, the frequency of producing EMs increases when the camera moves rapidly or scenes are high-contrast. This will raise the computational burden to the tracker.

#### 2.2.2 Time Surface

The TS is also an image where each pixel stores a value. Using an exponential decay kernel, TSs can emphasize recent events over past events. Given an arbitrary timestamp

https://github.com/HKUST-Aerial-Robotics/ESVO

t, the value at a pixel x is defined as

$$I(\mathbf{x},t) \doteq \exp\left(-\frac{t - t_{last}(\mathbf{x})}{\delta}\right),\tag{5}$$

where  $t_{last}(\mathbf{x}) \leq t$  is the timestamp of the last event at  $\mathbf{x}$ and  $\delta$  is the constant decay rate parameter (i.e., 30ms). This equation converts events into an image whose intensity is a function of the motion history at that location. Larger values correspond to a more recent motion. Online adjusting the value of t can signal the creation of TSs synchronously or asynchronously. In experiments, we output TSs in a synchronous way. For convenient visualization and processing, each TS is rescaled from [0, 1] to the range [0, 255].

#### 2.2.3 Summary

We summarize the pros and cons of these representations from recent literature [5]. Generally, EMs implicitly represent the edge map since events are mostly triggered by edge patterns. They are the universal data structure compatible with conventional computer vision. Also, generating an EM is very fast (i.e., < 0.5ms). Nevertheless, EMs are highly sensitive to motion blur if the number of aggregating events is not set well. In contrast, TSs are more informative than EMs even though they require around 5-10ms for the synthesis. In experiments, we will further identify several limitations of both EMs and TSs in challenging scenarios.

The tracker operates the "negative" event frames <u>i.e.</u>,  $\bar{I}(\mathbf{x}) = 255 - I(\mathbf{x})$ . This is based on a crucial observation: the objective (3) becomes minimum if the template image is "perfectly" aligned on dark areas in the current frame. An example can be seen in Fig. 4(c). Specifically, the negative TSs can be interpreted as an anisotropic distance field as presented in edge-based VO [30]. To enlarge the convergence basin's width in optimization, a Gaussian blur (kernel size = 5 pixels) is applied to negative event frames.

#### 2.3. Enhanced Tracker with Degenearcy Check

The synchronous pose output of the TS-based tracker is beneficial to sensor fusion like the visual-inertial odometry or other robotic applications (e.g., drone racing). However, unlike the EM that aggregates a fixed number of events, the TS becomes unreliable if few events are triggered (see Section 3). This issue frequently occurs where event cameras are working in textureless scenarios or relatively static to scenes. The "jump" in motion estimates is inevitable due to unconstrained degrees of freedom.

In this paper, we enhance the original TS-based tracker in robustness by taking EMs as the backup representation. The proposed tracker is based on a test that online evaluates the degeneracy of the optimization problem (3). Inspired by [27], we use the *factor*  $\lambda$  as the degeneracy quantification metric.  $\lambda$  is defined as the minimum eigenvalue of the



(g)  $rpg\_monitor$  (h)  $upenn\_flying1$  (i)  $upenn\_flying3$ Figure 2. Scene images of *simu*, rpg, and upenn sequences. The camera resolutions are  $346 \times 260$ ,  $240 \times 180$ , and  $346 \times 260$ , respectively.

Hessian matrix which is computed by linearizing (3) as a normal equation:  $\mathbf{H}\Delta\boldsymbol{\theta} = \mathbf{g}$ . We take both TSs and ESs as input, but first utilize the TS-based tracker. Before optimization, we compute  $\lambda$  and check if it is smaller than a threshold  $\lambda_{th}$ . If  $\lambda < \lambda_{th}$ , problem (3) may degenerate, and we use the EM-based tracker to optimize the pose parameters. The EM is constructed by aggregates the recent 4000 events. In experiments, we empirically set  $\lambda_{th} = 100$ .

## **3.** Experiment

#### **3.1. Implementation Details**

We use the Eigen library [10] to solve the nonlinear optimization problem. The tracker requires a known 3D map, which is computed by the mapping module of ESVO in realtime. We use two public datasets, and the simulator [19] to generate sequences for evaluation. All data were collected with stereo event cameras, but the motion and scenes present various difficulties. The simulator provides synthetic data with a planar structure and an "ideal", noise-free camera model. We collect sequences (*simu\_X*) using stereo event cameras before a wall with various backgrounds. We category the collected simulated sequences into two classes according to the motion complexity: 1) slow ( $\approx 0.3m/s$ ) and planar motion; 2) fast ( $\approx 1.0m/s$ ) and 6-DoF motion. Real-world data in [29] (rpg\_X) were collected in an office, while that in [32] (*upenn\_X*) were collected by mounting cameras on a flying drone in a capacious indoor area. Note that the "X" indicates the type of scenes and motions. The



(b) Failure case 2

(c) Failure case 3

Figure 3. Failure cases of the EM-based tracker which aggregates 3000 events. Sequences from left to right are: simu\_office\_6DoF, rpg\_bin, and *rpg\_box*.

Sequence	TS	EM <sub>2000</sub>	EM3000	EM4000	EM <sub>5000</sub>	TSEM <sub>4000</sub>
simu_office_planar	4.7	4.0	3.9	3.7	4.1	4.9
simu_poster_planar	4.7	3.7	4.3	4.6	5.0	5.2
simu_checkerboard_planar	4.2	2.9	2.2	2.3	2.4	3.5
simu_office_6DoF	9.1	25.3	21.0	16.6	15.8	9.4
simu_poster_6DoF	18.2	15.4	16.3	16.8	17.4	17.8
simu_checkerboard_6DoF	23.0	17.0	14.0	15.1	13.4	22.4
rpg_bin_6DoF	3.4	22.4	16.6	8.0	14.1	3.5
rpg_box_6DoF	6.5	5.3	17.1	13.7	9.8	22.1
rpg_desk_6DoF	3.4	2.9	3.3	3.2	2.9	3.6
rpg_monitor_6DoF	7.2	5.3	5.2	7.4	7.3	7.3
upenn_indoor_flying1_6DoF	18.5	22.0	16.7	16.0	22.1	14.9
upenn_indoor_flying3_6DoF	20.9	10.8	11.9	14.0	15.0	10.6

Table 1. Mean Absolute Trajectory Error in translation [cm] under 10-trials. The first two lowest errors are marked as bold.

ground-truth camera poses are provided. Fig. 2 shows the scene images. In experiments, the algorithm is executed on a desktop with an i7 CPU@4.20 GHz.

#### **3.2. Tracking Evaluation**

We implement six tracker variations according to the used representations. They are denoted by "TS", "EM<sub>2000</sub>", "EM $_{3000}$ ", "EM $_{4000}$ ", "EM $_{5000}$ ", and "TSEM $_{4000}$ " respectively. The subscript of "EM" indicates the number of events to generate an EM. "TSEM<sub>4000</sub>" is the enhanced tracker with the degeneracy check. To evaluate their performance, we compute the pose accuracy using the absolute trajectory error (ATE) in translation [28] w.r.t. the ground truth. We conduct 10-trial SLAM tests on each sequence. The mean ATE is reported in Table 1.

All trackers achieve similar accuracy on the simplest sequences (*simu\_X\_planar*), while they show worse accuracy on more complex sequences. We cannot directly determine which representations are better for tracking according to the accuracy in Table 1 since all trackers may have large errors on specific sequences. We should analyze the results by

TS	$EM_{2000}$	$EM_{3000}$	$EM_{4000}$	$EM_{\rm 5000}$	$TSEM_{4000} \\$
$8\pm5$	$8\pm 6$	$7\pm4$	$7\pm4$	$7\pm4$	$7\pm3$

Table 2. Average	computation	time [ms]	of trackers.

considering the characteristics of scenes and motion. The TS-based tracker outperform others on *simu\_office\_6DoF*, *rpg\_bin*, and *rpg\_box*, where high-contrast textures such as lines and rectangles are presented (see Fig. 2). In contrast, we observe that EM-based trackers reach another local minima which is far from the groundtruth during the optimization process and thus result in low accuracy. Fig. 3 illustrates three failure cases.

On the other hand, EM-based trackers consistently have better performance than their TS-based counterpart on upenn\_indoor\_flying3. We note that few events are generated when the drone stops and hovers at some time. This causes the generation of unreliable TSs which are triggered at a constant rate. For the tracker, not enough constraints (i.e., "dark areas" on TSs) make the optimization degener-



(a) The value of the degeneracy factor  $\lambda$  on different sequences





Figure 4. We plot the values of  $\lambda$  on three sequences in (a). At the time of 8.2*s* of *upenn\_indoor\_flying1* (b) where the TS-based tracker degenerates, (c) the 3D map is well aligned on "dark areas" of the negative EM with sufficient constraints.

ate or fail. An example is shown in Fig. 4, with the plot displaying the value of the *degeneracy factor*  $\lambda$  on sequences: *simu\_office\_6DoF*, *rpg\_box*, and *upenn\_indoor\_flying3*. Regarding *upenn\_indoor\_flying3*, at the time of 8.2*s*, the TSbased tracker degenerates due to the unreliable TS (see Fig. 4(b)). The corresponding *factor*  $\lambda$  is smaller than the threshold. Therefore, we can swith the stable EM and then redo the tracking, improving the tracker's results.

The trajectories produced by the TS-based tracker on all sequences are compared in Fig. 5, Fig. 6, and Fig. 7. Due to limited space, only the ground truth trajectories and trajectories of the tracker with the highest accuracy are shown as the reference. Through these figures, readers can have a better understanding of the above explanations. For example, we observe that the poses of the TS-based tracker "jump" on *upenn\_indoor\_flying3* from Fig. 7. The unreliable TS causes degrades this performance.

#### **3.3.** Computation Time

The average computational time of tracker variations is reported in Table 2, where all of them take around 7-8ms to solve the pose estimation problem. Depending on the sensor resolution, the creation of the TS takes about 5-10ms [29]. The degeneracy evaluation takes about 0.5-1.5ms.

## 3.4. Discussion

The presented trackers have limitations. First, although the enhanced TSEM-based tracker can mitigate the undesirable effect caused by the unreliable TS, it suffers shortcommings of both the TS and EM if  $\lambda_{th}$  is not well tuned. This explains why the TSEM has bad accuracy on *simu\_poster\_6DoF*, *simu\_checkerboard\_6DoF*, and *rpg\_box*. Hence, the utilization of the TSEM is subtle: tunning a good parameter requises to know more about dataset, while the degeneracy check is used to evaluate the conditions in unfamiliar environments.

Second, we find that the estimated trajectories of the current tracker are not smooth. TS-based tracker's trajectory on *rpg\_monitor* is visualized in Fig. 8. This is due to the fact that the tracker lacks a regularization term in optimization. Possible solutions can be introducing the motion prior [4] or considering historical measurements (sliding window-based framework [18] or full batch optimization [15]). Moreover, as done in monocular event camera with an IMU [20, 26, 33], stereo event-based VIO is an unexplored but promissing direction for this problem.

Finally, the image-type representations quantize event timestamps. The tracking results can be further optimized by introducing spline-based optimization framework [9,14].

## 4. Conclusion

In this work, we have conducted extensive comparisons of two image-type representations for event camera-based tracking. We also introduce the degeneracy check and propose an enhanced tracker to make use of their complementary strengths. Furthermore, we implement six tracker variations. In evaluating these trackers, our goal is to benchmark their performance in different-complexity scenarios. The results presented in Section 3 suggest that each representation has its own advantages and limitations for tracking. Such event-based representations are scene- and motion-dependent: both of them may degenerate in nonideal situations. We hope that these results and conclusions shown in this paper may provide a reference for researchers on event-based SLAM and indicate possible directions to improve the state-of-the-art (SOTA) methods.

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Figure 5. 6-DoF poses comparison of the TS-based ("TS") and EM-based tracker with the highest accuracy ("EM<sub>3000</sub>") against the ground truth ("GT") on **simulated sequences**. Each row corresponds to a different sequence: *simu\_office\_planar*, *simu\_poster\_planar*, *simu\_checkerboard\_planar*, *simu\_office\_6DoF*, *simu\_poster\_6DoF*, *simu\_checkerboard\_6DoF*. These sequences are captured with stereo event cameras under random motions.



Figure 6. 6-DoF poses comparison of the TS-based ("TS") and EM-based tracker with the highest accuracy (" $EM_{2000}$ ") against the ground truth ("GT") on **rpg sequences**. Each row corresponds to a different sequence: *rpg\_bin*, *rpg\_box*, *rpg\_desk*, *rpg\_monitor*. These sequences are captured with a handheld stereo rig moving under a locally loopy behavior.

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Figure 7. 6-DoF poses comparison of the TS-based ("TS") and TSEM-based tracker ("TSEM") against the ground truth ("GT") on **upenn** sequences. Each row corresponds to a different sequence: *upenn\_indoor\_flying1*, *upenn\_indoor\_flying3*. These sequences are captured with a stereo rig mounted on a drone.



Figure 8. TS's trajectory on rpg\_monitor.

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